Exam Evolutionary Computing 23. 10. 2015

NOTES:

- 1. YOUR NAME MUST BE WRITTEN ON EACH SHEET IN CAPITALS.
- 2. Answer the questions in English.
- 3. Points to be collected: 90, free gift: 10 points, maximum total: 100 points.
- 4. Grade: total number of points divided by 10.
- 5. This is a closed book exam (no materials are allowed).

QUESTIONS

- 1. (Total = 32pt) We are to solve a graph 3-coloring problem with evolutionary computing. That is, we have a graph G = (N, E) with n = |N| nodes and m = |E| edges and three colors $\{r, w, b\}$. We define a coloring as an assignment of colors to all nodes. Then the task is to find a coloring such that no neighboring nodes have the same color.
 - (a) (2pt) What kind of problem is this, an FOP, a COP, or a CSP?

We decide to represent a coloring by a vector $x = \langle x_1, \ldots, x_n \rangle \in \{r, w, b\}^n$, where the k-th position belongs to node $k \in N$ and x_k is the color of k. Constraints are denoted as $\{c_1, \ldots, c_m\}$. For each edge $e = (k, l) \in E$ there is a unique constraint c_i such that $c_i(x) = true$ if and only if $x_k \neq x_l$. Furthermore, we use the notation C^k for the set of constraints involving variable x_k (that is, involving the node k). Now we can define two different fitness functions as follows:

$$f_1(x) = \sum_{i=1}^m A(x, c_i)$$
 where

$$A(x, c_i) = \begin{cases} 1 & \text{if } c_i(x) = false \text{ (i.e., } x \text{ violates } c_i) \\ 0 & \text{otherwise} \end{cases}$$

and

$$f_2(x) = \sum_{j=1}^n B(x, C^j)$$
 where

$$B(x, C^j) = \begin{cases} 1 & \text{if } x \text{ violates at least one } c \in C^j \\ 0 & \text{otherwise} \end{cases}$$

- (b) (5pt) What does the fitness function f_1 measure in terms of the (colored) graph?
- (c) (5pt) What does the fitness function f_2 measure in terms of the (colored) graph?
- (d) (6pt) Which of these fitness functions is preferable if we want to use a heuristic mutation operator that 'fixes' some errors in a chromosome it is applied to? Give arguments why do you prefer this f.

Using the above representation and either fitness functions specify a suitable EA¹ by

- (e) (2pt) an appropriate crossover operator,
- (f) (2pt) an appropriate mutation operator,
- (g) (2pt) an appropriate selection mechanism,
- (h) (2pt) an initialization method,
- (i) (2pt) a stop condition,
- (j) (4pt) a heuristic mutation operator that tries to 'fix' some errors in a chromosome it is applied to.

2. (Total = 13pt)

- (a) (3 pt) Give the definition of Order1 crossover. Do not forget to specify the kind of genotypes (i.e., representation) to which it is applicable.
- (b) (3 pt) Describe three problems for which this representation and Order1 crossover could be applied.
- (c) (7 pt) Show how Order1 crossover works by drawing two parents with at least 9 genes and the child(ren) you obtain by applying Order1 crossover to them.

3. (Total = 5pt)

- (a) (2 pt) What is the takeover time τ^* of a selection mechanism?
- (b) (3 pt) Assume that you use a (μ, λ) Evolution Strategy. How can you calculate the takeover time in general? What is the approximate value of τ^* for μ =15 and λ =100?
- 4. (Total = 5pt) Consider two schemes to perform self-adaptation of the mutation stepsizes. Scheme A is defined by equations 1 and 2.

$$\sigma' = \sigma \cdot e^{\tau \cdot N(0,1)} \tag{1}$$

$$x_i' = x_i + \sigma \cdot N_i(0, 1) \tag{2}$$

Scheme B is defined by equations 3 and 4.

$$\sigma' = \sigma \cdot e^{\tau \cdot N(0,1)} \tag{3}$$

$$x_i' = x_i + \sigma' \cdot N_i(0, 1) \tag{4}$$

Which of these schemes is better? Why?

5. (Total = 15pt)

(a) (1 pt) What kind of problems is particle swarm optimisation (PSO) typically applied to?

¹The EA does not have to be "smart" (efficient). But the operators should be such that a solution can be found.

(b) (4 pt) Give the technical summary tableau of a PSO. Hint: there are 5 items and starting from the second correct answer you can receive a point per good answer.

Suppose that we are minimising the function $f(x) = x_1 + x_2 - x_3$ where x_i is between [0,1]. The current population has a size of three with the following values:

	$\langle \ \bar{x_1}, \ \bar{v_1}, \ \bar{b_1} \ \rangle$	$\langle \ \bar{x_2}, \ \bar{v_2}, \ \bar{b_2} \ \rangle$	$\langle \bar{x_3}, \bar{v_3}, \bar{b_3} \rangle$
$\langle x_{i1}, v_{i1}, b_{i1} \rangle$	⟨ 0.68, -0.10, 0.60 ⟩	$\langle 0.32, 0.67, 0.33 \rangle$	⟨ 0.20, -0.6, 0.20 ⟩
$\langle x_{i2}, v_{i2}, b_{i2} \rangle$	$\langle 0.89, 0.35, 0.70 \rangle$	$\langle 0.68, -0.38, 0.70 \rangle$	$\langle 0.45, 0.3, 0.40 \rangle$
$\langle x_{i2}, v_{i2}, b_{i3} \rangle$	⟨ 0.04, 0.30, 0.12 ⟩	$\langle 0.32, -0.18, 0.13 \rangle$	⟨ 0.15, 0.2, 0.50 ⟩

- (c) (5 pt) How do you calculate the new velocity vector for individual 1 $(\vec{v_1})$? Calculate this vector with the values that you have. Leave the variables whose values you do not know uninstantiated (i.e., use them as variables).
- (d) (5 pt) Suppose the new velocity vector for individual 1 looks like $\langle v'_{11}, v'_{12}, v'_{13} \rangle = \langle -0.08, -0.29, 0.06 \rangle$. What does the first individual of the next generation look like?

6. (Total = 15pt)

- (a) **(6 pt)** Name three properties that make fitness functions in evolutionary robotics different from those in regular EAs for optimization. Explain the differences.
- (b) (3 pt) What is on-line evolution in evolutionary robotics?
- (c) **(6 pt)** What is the difference between encapsulated and distributed on-line evolution? For each approach, name at least one advantage and disadvantage.
- 7. (Total = 5pt) Consider the following statement:

'The No Free Lunch Theorem implies that it is useless to compare different evolutionary algorithms.'

Is this statement correct or not? Give arguments.